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PATENT APPLICATION FEE DETERMINATION RECORD

Substitute for Form PTO-875 APPLICATION AS FILED - PART I OTHER THAN OR SMALL ENTITY SMALL ENTITY (Column 1) (Column 2) FOR **NUMBER FILED** NUMBER EXTRA RATE (\$) FEE (\$) RATE (\$) FEE (\$) BASIC FEE NA (37 CFR 1.16(a), (b), or (c)) SEARCH FEE N/A N/A N/A N/A (37 CFR 1.16(k), (i), or (m)) **EXAMINATION FEE** N/A N/A N/A N/A (37 CFR 1.16(o), (p), or (q)) TOTAL CLAIMS OR (37 CFR 1.16(i)) minus 20 = INDEPENDENT CLAIMS = = minus 3 = (37 CFR 1.16(h)) If the specification and drawings exceed 100 APPLICATION SIZE sheets of paper, the application size fee due Is \$250 (\$125 for small entity) for each FEE (37 CFR 1.16(s)) additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j)) N/A N/A TOTAL TOTAL If the difference in column 1 is less than zero, enter "o" in column 2. PPLICATION AS AMENDED - PART II OTHER THAN OR (Column 2) (Column 3) SMALL ENTITY (Column 1) SMALL ENTITY CLAIMS HIGHEST PRESENT RATE (\$) REMAINING NUMBER RATE (S) ADDI-ADDI-PREVIOUSLY **EXTRA** TIONAL **AFTER** AMENDMENT PAID FOR FEE (\$) FEE (\$) Total Minus Ш 8 25 52 44 200 (37 CFR 1.16()) MON OR Minus 0 7 100 = 0 OR 面 Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(I)) N/A N/A TOTAL ADDIFEE 200 OR ADO'L FEE (Calumn 1) (Column 2) (Column 3) CLAIMS HIGHEST REMAINING PRESENT RATE (\$) ADDI-RATE (\$) ADDI-NUMBER $\mathbf{\omega}$ **EXTRA** TIONAL FEE (\$) TIONAL AFTER PREVIOUSLY AMENDMENT PAID FOR FEE (\$) MENDME Total (37 CFR 1.160)) Minus OR Independent (37 CFR 1.16(h)) Minus OR Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.18(j)) NA N/A OR TOTAL TOTAL OR ADD'L FEE ADD'L FEE If the entry in column 1 is less than the entry in column 2, write "0" in column 3. If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

This collection of information is required by 37 CFR 1.16. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

- 4. Under the direction of Defendants' corporate officer and/or director Joseph Bijou, Defendants instituted this practice of depriving Plaintiff of overtime wages and failing to provide proper paystubs, as mandated by federal and state law.
- 5. Plaintiff has initiated this action seeking overtime compensation and compensation for failure to provide paystubs, plus interest, damages, attorneys' fees, and costs.

THE PARTIES

- 6. Plaintiff Felipe Solano Mateos aka Ramiro resides in the State of New York and was employed by Defendants from approximately 2003 through July 2014 primarily as a food preparer and/or line cook, but also as a dishwasher and cleaner.
- 7. Defendant BTN is a corporation organized and existing under the laws of the State of New York, with its principal place of business at 535 Kings Highway, Brooklyn, New York 11223. Defendant BTN is engaged in the restaurant and food business.
- 8. Upon information and belief, Defendant Joseph Bijou is a resident of the State of New York and at all relevant times was an officer, director, and/or owner of BTN.

JURISDICTION

- 9. Jurisdiction of this Court is invoked pursuant to FLSA, 29 U.S.C. §§ 207 and 28 U.S.C. §§ 1331 and 1337. This court also has supplemental jurisdiction under 28 U.S.C. §1367 of the claims brought under the New York Law.
- 10. The statute of limitations under the FLSA for willful violations is three (3) years. See 29 U.S.C. §255(a).
- 11. The statute of limitations under the New York Labor Law is six (6) years. See New York Labor Law § 198(3).

VENUE

12. Venue for this action in the Eastern District of New York under 28 U.S.C. § 1391 (b) is appropriate because a substantial part of the events or omissions giving rise to the claims occurred in the Eastern District of New York.

FACTS

- 13. Plaintiff worked for Defendants as a salad maker, and often as a dishwasher from 2003 through July 2014.
 - 14. Plaintiff typically worked 6 to 7 days each week.
- During the relevant period, Plaintiff's schedule was as follows: from 2008 until 2010, he worked from 8:00 a.m. or 9:00 a.m. until 4:00 p.m.; from 2010 until 2013, Plaintiff worked from 9:00 a.m. until 4:00 p.m.; and from 2013 through 2014, he worked from 9:00 a.m. until 11 p.m. on Mondays and Tuesdays, and from 9:00 a.m. until 4:00 p.m. from Wednesday through Saturday. (Friday free, Saturday from 6pm until 1:00 am).
 - 16. Plaintiff normally worked more than forty (40) hours each week.
 - 17. Plaintiff was paid a flat weekly rate of approximately \$350 to \$400.
- 18. Plaintiff was paid solely in cash until 2011, and then partly by check and partly in cash.
 - 19. Plaintiff was not provided with a paystub with his wages every week.
- 20. Plaintiff did not receive overtime wages for all hours worked over forty (40) each week.
- 21. Upon information and belief, Joseph Bijou is and at all relevant times was the president and/or owner of BTN, and (i) had the power to hire and fire employees; (ii) supervised and controlled employee work schedules or conditions of employment; (iii) determined the rate and method of payment for Defendants' employees; and (iv) maintained employment records.

- 22. Upon information and belief, Defendant Joseph Bijou was the principal and owner of BTN, dominated the day-to-day operating decisions of BTN, and made major personnel decisions for BTN.
- 23. Upon information and belief, Joseph Bijou had complete control of the alleged activities of BTN, which give rise to the claims brought herein.
- 24. Upon information and belief, Defendants' annual gross volume of sales made or business done is not less than \$500,000.
- 25. Upon information and belief, Defendants engage in interstate commerce, produce goods for interstate commerce, or handle, sell, or work on goods or materials that have been moved in or produced for interstate commerce.

FIRST CAUSE OF ACTION FLSA OVERTIME WAGES

- 26. Plaintiff repeats and re-alleges the allegations set forth above.
- 27. Pursuant to the Fair Labor Standards Act ("FLSA"), 29 U.S.C. § 207, "no employer shall employ any of his employees who in any workweek is engaged in commerce or in the production of goods for commerce, for a workweek longer than forty hours unless such employee receives compensation for his employment in excess of the hours above specified at a rate not less than one and one-half times the regular rate at which he is employed."
- 28. Pursuant to 29 U.S.C. § 203(d), an "employer" includes "any person acting directly or indirectly in the interest of an employer in relation to an employee and includes a public agency, but does not include any labor organization (other than when acting as an employer) or anyone acting in the capacity of officer or agent of such labor organization."
- 29. BTN constitutes an employer within the meaning contemplated in the FLSA, 29 U.S.C. § 203(d).

- 30. Pursuant to 29 U.S.C. § 203(d) and the cases interpreting the same, Joseph Bijou constitutes an "employer" for the purpose of the FLSA and, consequently, is liable for violations of the FLSA.
- 31. Plaintiff is an employee within the meaning contemplated in Fair Labor Standards Act ("FLSA"), 29 U.S.C. §203(e).
- 32. Upon information and belief, Defendants failed to pay Plaintiff all earned overtime wages for the time he worked for Defendants in any given week.
 - 33. The failure of Defendants to pay Plaintiff his rightfully owed wages was willful.
- 34. By the foregoing reasons, Defendants are liable to Plaintiff in an amount to be determined at trial, plus liquidated damages in the amount equal to the amount of unpaid wages, interest, attorneys' fees and costs.

SECOND CAUSE OF ACTION NEW YORK OVERTIME COMPENSATION LAW

- 35. Plaintiff repeats and re-alleges the allegations set forth above.
- 36. 12 NYCRR §146-1.4 requires that "[A]n employer shall pay an employee for overtime at a wage rate of 1 1/2 times the employee's regular rate for hours worked in excess of 40 hours in one workweek."
- 37. New York Labor Law § 663, provides that "[i]f any employee is paid by his employer less than the wage to which he is entitled under the provisions of this article, he may recover in a civil action the amount of any such underpayments, together with costs and such reasonable attorney's fees."
- 38. BTN is an employer, within the meaning contemplated, pursuant to New York Labor Law Article 19 § 651(6) and the supporting New York State Department of Labor Regulations.

- 39. Joseph Bijou is an employer, within the meaning contemplated, pursuant to New York Labor Law Article 19 § 651(6) and the supporting New York State Department of Labor Regulations.
- 40. Plaintiff is an employee within the meaning contemplated, pursuant to New York Labor Law Article 19 § 651(5) and the supporting New York State Department of Labor Regulations.
- 41. Upon information and belief, Plaintiff worked more than forty hours a week while working for Defendants.
- 42. Upon information and belief, Plaintiff did not receive overtime compensation for all hours worked after the first forty hours in a week.
- 43. Consequently, by failing to pay Plaintiff overtime compensation, Defendants violated New York Labor Law § 663 and 12 NYCRR § 146-1.4.
- 44. Upon information and belief, Defendants' failure to pay overtime compensation for work performed by Plaintiff after the first forty hours worked in a week was willful.
- 45. By the foregoing reasons, Defendants have violated New York Labor Law § 663 and 12 NYCRR § 146-1.4, and are liable to Plaintiff in an amount to be determined at trial, plus damages, interest, attorneys' fees, and costs.

THIRD CAUSE OF ACTION NEW YORK IMPROPER PAY STUBS AND DOCUMENTATION

- 46. Plaintiff repeats and re-alleges the allegations set forth above.
- 47. Pursuant to New York Labor Law §§ 198-1(d) and 195 and 12 NYCRR § 146-2.2 and 2.3, an employer is required to provide its employee with a paystub that accurately reflects the rate of pay, the hours worked and the amounts deducted. The paystubs must include: the employee's rate or rates of pay; the overtime rate of pay, if the employee is subject to overtime

regulations; the basis of wage payment (per hour, per shift, per week, piece rate, commission, etc.); any allowances the employer intends to claim as part of the minimum wage including tip, meal, and lodging allowances; the regular pay day; the employer's name and any names under which the employer does business (DBA); the physical address of the employer's main office or principal place of business and, if different, the employer's mailing address; and the employer's telephone number.

- 48. According to New York Labor Law § 198-1(d), Plaintiffs are entitled to \$100 for every week in which they did not receive a pay stub.
 - 49. Plaintiff did not receive paystubs each week that he worked.
- 50. By the foregoing reasons, Defendants have violated New York Labor Law §§ 198-1(d) and 195 and 12 NYCRR § 146-2.2 and 2.3 and are liable to the Plaintiff in an amount to be determined at trial, plus interest, attorneys' fees and costs.

WHEREFORE, Plaintiff demands judgment:

- 1. on his first cause of action against Defendants in an amount to be determined at trial, plus liquidated damages in the amount equal to the amount of unpaid wages, interest, attorneys' fees, and costs;
- 2. on his second cause of action against Defendants in an amount to be determined at trial, plus liquidated damages, interest, attorneys' fees, and costs;
- 3. on his third cause of action against Defendants in an amount to be determined at trial, plus liquidated damages, interest, attorneys' fees, and costs; and
 - 4. Such other and further relief as the Court may deem just and proper.

Dated: New York, New York December 11, 2014

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